



11-20-02

1651 #

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                      |                     |              |
|---|----------------------|---------------------|--------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 09/545,659          |              |
|   | Filing Date          | April 10, 2000      |              |
|   | First Named Inventor | Rong-hao LI         |              |
|   | Group Art Unit       | 1651                |              |
|   | Examiner Name        | L. Lankford         |              |
| Total Number Of Pages In This Submission  | 12                   | Attorney Docket No. | 415072000600 |

RECEIVED  
NOV 22 2002  
TECH CENTER 1600/2900

| ENCLOSURES (check all that apply)  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (1 page in duplicate) | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group   |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                           |
| <input checked="" type="checkbox"/> Amendment / Reply (8 pages)                | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)                    |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declarations                               | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter  |
| <input checked="" type="checkbox"/> Extension of Time Request (1 page)         | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>1. Return receipt postcard |
| <input type="checkbox"/> Express Abandonment Request                           | <input type="checkbox"/> Terminal Disclaimer  |   |
| <input type="checkbox"/> Information Disclosure Statement                      | <input type="checkbox"/> Request for Refund   |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application     | Remarks   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53   |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT |   |
|---|---|
| Firm or Individual Name                   | Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 |
| Signature                                 | Jie Zhou, Reg. No. 52,395   |
| Date                                      | November 18, 2002   |

| CERTIFICATE OF MAILING BY "EXPRESS MAIL"  |                                    |
|---|------------------------------------|
| Express Mail Label No.: EV093218127US   | Date of Deposit: November 18, 2002 |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.   |                                    |
| Tamara Alcaraz  |                                    |
| <small>Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.</small> |                                    |

**FEE TRANSMITTAL  
FOR FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number 09/545,659

Filing Date April 10, 2000

First Named Inventor Rong-hao LI

Examiner Name L. Lankford

Group Art Unit 1651

Attorney Docket No. 415072000600

**TOTAL AMOUNT OF PAYMENT**(\$)**55.00****RECEIVED**

NOV 22 2002

**TECH CENTER 1600/2900****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

03-1952

Deposit  
Account  
Name

Morrison &amp; Foerster LLP

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee<br>Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|-------------|
| 1001                 | 740                   | 201                  | 370                   | Utility filing fee     |             |
| 1002                 | 330                   | 206                  | 165                   | Design filing fee      |             |
| 1003                 | 510                   | 207                  | 255                   | Plant filing fee       |             |
| 1004                 | 740                   | 208                  | 370                   | Reissue filing fee     |             |
| 1005                 | 160                   | 214                  | 80                    | Provisional filing fee |             |

**SUBTOTAL (1)** (\$)**0****2. EXTRA CLAIM FEES**

|                    | Total Claims | 14     | -20** = | 0 | x   | Fee from<br>below | 9   | = | Fee Paid |
|--------------------|--------------|--------|---------|---|-----|-------------------|-----|---|----------|
| Independent Claims | 3            | -3** = | 0       | x | 42  | =                 | \$0 |   |          |
| Multiple Dependent |              |        |         |   | 140 | =                 | \$0 |   |          |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description   | Fee<br>Paid |
|----------------------|-----------------------|----------------------|-----------------------|---|-------------|
| 1202                 | 18                    | 203                  | 9                     | Claims in excess of 20                                    |             |
| 1201                 | 84                    | 202                  | 42                    | Independent claims in excess of 3                         |             |
| 1203                 | 280                   | 204                  | 140                   | Multiple dependent claims, if not paid                    |             |
| 1204                 | 84                    | 209                  | 42                    | **Reissue independent claims over original patent         |             |
| 1205                 | 18                    | 210                  | 9                     | **Reissue claims in excess of 20 and over original patent |             |

**SUBTOTAL (2)** (\$)**0**

\*\* or number previously paid, if greater; For reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|--|----------|
| 1051                 | 130                   | 205                  | 65                    | Surcharge - late filing fee or oath  |          |
| 1052                 | 50                    | 227                  | 25                    | Surcharge - late provisional filing fee or cover sheet                       |          |
| 1053                 | 130                   | 139                  | 130                   | Non-English specification  |          |
| 1812                 | 2,520                 | 147                  | 2,520                 | For filing a request for ex parte reexamination                              |          |
| 1804                 | 920*                  | 112                  | 920*                  | Requesting publication of SIR prior to Examiner action                       |          |
| 1805                 | 1,840*                | 113                  | 1,840*                | Requesting publication of SIR after Examiner action                          |          |
| 1251                 | 110                   | 215                  | 55                    | Extension for reply within first month                                       | 55       |
| 1252                 | 400                   | 216                  | 200                   | Extension for reply within second month                                      |          |
| 1253                 | 920                   | 217                  | 460                   | Extension for reply within third month                                       |          |
| 1254                 | 1,440                 | 218                  | 720                   | Extension for reply within fourth month                                      |          |
| 1255                 | 1,960                 | 228                  | 980                   | Extension for reply within fifth month                                       |          |
| 1401                 | 320                   | 219                  | 160                   | Notice of Appeal   |          |
| 1402                 | 320                   | 220                  | 160                   | Filing a brief in support of an appeal                                       |          |
| 1403                 | 280                   | 221                  | 140                   | Request for oral hearing   |          |
| 1451                 | 1,510                 | 138                  | 1,510                 | Petition to institute a public use proceeding                                |          |
| 1452                 | 110                   | 240                  | 55                    | Petition to revive - unavoidable   |          |
| 1453                 | 1,280                 | 241                  | 640                   | Petition to revive - unintentional   |          |
| 1501                 | 1,280                 | 242                  | 640                   | Utility issue fee (or reissue)   |          |
| 1502                 | 460                   | 243                  | 230                   | Design issue fee   |          |
| 1503                 | 620                   | 244                  | 310                   | Plant issue fee  |          |
| 1460                 | 130                   | 122                  | 130                   | Petitions of the Commissioner  |          |
| 1807                 | 50                    | 123                  | 50                    | Petitions related to provisional applications                                |          |
| 1806                 | 180                   | 126                  | 180                   | Submission of Information Disclosure Stmt                                    |          |
| 8021                 | 40                    | 581                  | 40                    | Recording each patent assignment per properties (times number of properties) |          |
| 1809                 | 740                   | 246                  | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))                |          |
| 1810                 | 740                   | 249                  | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))             |          |
| 1801                 | 740                   | 279                  | 370                   | Request for Continued Examination (RCE)                                      |          |
| 1802                 | 900                   | 169                  | 900                   | Request for expedited examination of a design application                    |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**(\$)**55****SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)

Jie Zhou

Registration No.  
(Attorney/Agent)

52,395

Telephone

(650) 813-5922

Signature

Date

November 18, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

pa-745078